

PREA AUDIT REPORT INTERIM FINAL

ADULT PRISONS & JAILS



Auditor Information			
Auditor name: Michael Klemke			
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Email: mjklekme@doc1.wa.gov			
Telephone number: 509-244-6817			
Date of facility visit: February 16-18			
Facility Information			
Facility name: Roswell Correctional Facility			
Facility physical address: 578 W. Chickasaw Road, Hagerman, New Mexico 88232			
Facility mailing address: (if different from above)			
Facility telephone number: 575-625-3111			
The facility is:	<input type="checkbox"/> Federal	<input checked="" type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input type="checkbox"/> Private for profit
	<input type="checkbox"/> Private not for profit		
Facility type:	<input checked="" type="checkbox"/> Prison	<input type="checkbox"/> Jail	
Name of facility's Chief Executive Officer: Mary Christensen, Acting Warden			
Number of staff assigned to the facility in the last 12 months: 72			
Designed facility capacity: 340			
Current population of facility: 339			
Facility security levels/inmate custody levels: 2			
Age range of the population: 19-72			
Name of PREA Compliance Manager: Mary Christensen		Title: Acting Warden	
Email address: mary.christensen@state.nm.us		Telephone number: 575-625-3113	
Agency Information			
Name of agency: New Mexico Department of Corrections			
Governing authority or parent agency: (if applicable)			
Physical address: 4337 NM 14, Santa Fe, New Mexico 87508			
Mailing address: (if different from above) P.O. Box 27116, Santa Fe, NM 87502-0116			
Telephone number: 505-827-8645			
Agency Chief Executive Officer			
Name: Greg Marcantel		Title: Secretary of Corrections	
Email address: greg.marcantel@state.nm.us		Telephone number: 505-827-8884	
Agency-Wide PREA Coordinator			
Name: Jillian Shane		Title: PREA Coordinator	
Email address: jillian.shane@state.nm.us		Telephone number: 575-523-3303	

AUDIT FINDINGS

NARRATIVE:

Prior to the audit interviews were conducted with the Deputy Secretary of Administration, the Statewide PREA Coordinator and the head of investigators for the Department of Professional Standards, which is responsible for PREA investigations. These interviews indicated the Department is committed to complying with the PREA Federal Standards.

The PREA Audit of the Roswell Correctional Center was conducted on February 16-18, 2016. (When referring to "the facility", unless otherwise noted, this term is intended to reference Roswell Correctional Center). The Designated Certified Auditor, Michael Klemke, was assisted by George Gilbert, who has been through National PREA Auditor training.

The audit team wishes to extend its appreciation to Acting Warden Mary Christensen, Captain Adino Castillo and their staff for the professionalism, hospitality, and kindness they showed the audit team.

The audit team also wishes to compliment the New Mexico DOC PREA Coordinator, Jillian Shane for her outstanding work in organizing and assisting us with the requested information prior to and during the audit. This enabled the audit to move forward very efficiently.

Upon arrival at the facility, we held a pre-audit meeting to inform staff why we were there and what we were looking for in the audit. The following New Mexico staff were at this entrance meeting: Statewide PREA Coordinator Jillian Shane, CYFD PREA Coordinator Eugene Brewster, CNMCF Captain Armando Rel, SNMCF Captain Willie Flores, Warden Mary Christensen, Chief of Security Captain Adino Casillo, Warden's Admin Assistant Lupe Castro, Plant Manager David Reed, Admin Lt. Michael Brisco, Lt. Antonio Reyes, Classification Supervisor Amy Lee, Behavioral Health Therapist Carla Cortez, Business Manager Janet Sanders, Health services Administrator Mindy Ortega, Roster Management Officer Elizabeth Virrueta, Disciplinary/Hearing Officer John Crocker, Records Manager Benda Saenz, ACA Compliance Manager Russell Cain, Human Resources Mona Parks, PREA auditor George Gilbert and lead auditor Michael Klemke.

Following the Entrance Meeting, the audit team was given a very thorough tour of the Roswell Correctional Center to include the living units, program areas, visiting, Correctional Industries, kitchen, warehouse, intake area, training department and the facility fire department. After the tour, the audit team began interviewing staff, reviewing files and observing processes.

At least one offender from each housing unit was interviewed. Those interviewed were randomly selected, by the auditors, from a list of all the inmates in the facility. In addition, inmates who were identified as being in a designated group (i.e. disabled, limited English speaking ability, LGBTI, or who had reported a sexual abuse, etc.) were also interviewed. The interviews indicate the inmates know what PREA is and how to report concerns.

Correctional officers from each shift were interviewed and other identified specialized staff were interviewed, including the Warden, PREA Compliance Manager, first responders, contractor, health care providers, mental health professionals, HR, intake staff and the SANE coordinator for New Mexico Coalition of Sexual Assault Programs Inc.

The the correctional officers and other staff were knowledgeable about PREA, offender rights regarding PREA, first response, and evidence collection. The vast majority of staff clearly understood PREA and the agency's commitment to it. Warden Christensen is committed to implementing and ensuring compliance with the PREA standards within his facility and it was very apparent to the audit team.

Health care and mental health staff that provide services to the inmates at Roswell Correctional Center are very professional and knowledgeable with regard to their responsibilities when responding to PREA allegations and the protocols for follow up treatment.

When the on-site audit was completed, the audit team conducted an exit meeting with the facility executive team. The auditors gave a brief overview of the audit results and thanked the Roswell Correctional Center staff for their hard work and commitment to the Prison Rape Elimination Act. The audit team also made some recommendations to the PREA Compliance Manager and the Warden and offered any assistance needed in making improvements or changes.

It is apparent to the auditors that the facility staff are dedicated and committed to meeting the Federal PREA Standards, but also to the overall safety and security of the inmates, staff and visitors.

DESCRIPTION OF FACILITY CHARACTERISTICS:

Roswell Correctional Center is located in Hagerman New Mexico, approximately 200 miles from both Albuquerque and Santa Fe, New Mexico. It is a level II facility which means it is a restrictive minimum security prison.

Inmates are housed in dormitory like settings and present minimal risk to each other and the staff. The current capacity of this prison is 340 adult male inmates.

Substance abuse treatment is available to inmates who require it. Vocational programs offer inmates a chance to learn the discipline of welding and earn certification. They are also given the opportunity of earning their GED and continuing on with College courses.

Religious services are also offered to all inmates who wish to participate. The facility has a Volunteer base of approximately 100 individuals, with programs every night in the chapel and other locations, to include the faith based life skills Crossings Program. The facility also contracts with an outside entity to provide a Life Skills Program four times per year. Roswell Correctional Center allows select inmates to volunteer for the fire department and they are a part of District 8 Volunteer Fire Department. They interact with the community, responding to fires and medical emergency calls as needed.

Our Hobby Craft shop allows inmates the opportunity to hone their craft skills and participate in the bi-annual Hobby Craft Show held in Santa Fe, New Mexico.

Facility Demographics

Rated Capacity: 340

Security/Custody level: II Minimum Security

Age range of offenders (yrs): 18-70 years – Males

Housed in Dormitory setting with 8 Dorms

The facility does not have a Segregation unit

Number of Staff includes: Security- Males Officers: 27 Female Officers: 3

Non Security – Males: 8, Females: 15

Number of Physical Plant Buildings: 7

Medical services are provided through a contract with Corizon Medical. All inmates are ambulatory, no psychotropic medications permitted. The facility does not provide 24 hour medical services but does have on-call medical and mental health staff.

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded: 3

Number of standards met: 38

Number of standards not met: 0

Number of standards not applicable: 2

Total: 43

**Standard 115.11 - Zero tolerance of sexual abuse and sexual harassment;
PREA Coordinator**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-150102, memo from the Secretary of Administration, memo from the Warden and interviews with the Agency PREA Coordinator, facility investigator, and interview with investigator from Office of Professional Standards, Roswell Correctional Center is compliant with all components of Standard 115.11.

Standard 115.12 - Contracting with other entities for the confinement of inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Roswell Correctional Center does not have any contracts for the confinement of its inmates with private agencies or other entities, including other government agencies, therefore Standard 115.12 is non-applicable.

Standard 115.13 - Supervision and monitoring

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-130301, minutes from PREA Staffing Pattern/Facility Compliance meeting dated 12/15/15, Staffing Plan, review of log books, interviews with staff and review of camera placement an video monitoring, Roswell Correctional Center is compliant with all components of Standard 115.13.

Standard 115.14 - Youthful inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on letter from the Warden and a review of the age report on offenders housed at Roswell Correctional Center, they do not house youthful inmates and therefore, Standard 115.14 is non-applicable.

Standard 115.15 - Limits to cross-gender viewing and searches

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policies CD-150100, CD-130300, training lesson plan for Search Procedures, PREA powerpoint used for staff training, interviews with staff, Memo form the Warden, and observations when doing the tour (witnessed female staff make announcements and every unit has "Announce Female Staff when entering" painted on each living unit door), Roswell Correctional Center is compliant with all components of Standard 115.15.

Standard 115.16 - Inmates with disabilities and inmates who are limited English proficient

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy 150100, PREA Orientation Video, PREA Pamphlet, Roswell Correctional Center Inmate Handbook, postings throughout the institution on PREA reporting, Vendor Contracts with Interpreter Services, List of certified staff interpreters, interviews with inmates and utilization of an interpreter during and inmate interview, Roswell Correctional Center is compliant with all components of standard 115.16.

***Note* Roswell Correctional Center exceeds this standard. There are postings for inmates to read in both English and Spanish in every building that the public and inmates have access to that explain what PREA is, how to report concerns and the investigation process. The inmate orientation provides a PREA video, PREA Pamphlet (English/Spanish), and an inmate handbook (English/Spanish) that also has PREA information. Interviews with the inmates indicate they are not only receiving the information, but they are also understanding it. Roswell Correctional Center does not house deaf or blind offenders.**

Standard 115.17 - Hiring and promotion decisions

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of policy CD-037400, background check requests, release of information forms, Memo from Human Resource Supervisor and interview with human resource staff, Roswell Correctional Center is compliant with all components of Standard 115.17.

***Note* - Roswell Correctional Center has a plan in place, starting in July 2016 background checks will be run on every employee every two years.**

Standard 115.18 - Upgrades to facilities and technologies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Memo from the Warden indicates Roswell Correctional Center has not had any updates to their facility in the past 5 years. Conversation with the Warden indicated that if they get approval for additional video monitoring then they will place the electronic surveillance system in the most appropriate places to ensure offender safety.

***Note*- The auditors recommended mirror placement in some areas and windows added to some doors. The mirrors were placed prior to completion of the audit and windows were ordered to be placed in the requested doors.**

Standard 115.21 - Evidence protocol and forensic medical examinations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, Memo of Understanding with New Mexico Rape Crisis Centers, memo from the Office of Inspector General showing what topics the New Mexico State Patrol receive in their 22 week training and interviews with an investigator from Office of Professional Standards, facility investigator, and manager of SAFE/SANE examiners, Roswell Correctional Center is compliant with all components of Standard 115.21.

Standard 115.22 - Policies to ensure referrals of allegations for investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-031800 and CD-150100, Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, PREA for Staff Training Acknowledgement form, Certificates of Completion for Investigating Sexual Violence - Effective Strategies for Military and Civilian Law Enforcement, Certificates for Investigation of Sexual Assault in a Correctional Setting, investigative reports and the New Mexico Corrections Department Website, Roswell Correctional Center is in compliance with all componets of Standard 115.22.

Standard 115.31 - Employee training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-190000 and CD-150100, Power Point: PREA for Staff, Training Lesson Plan: PREA for Staff, Staff Training Acknowledgement form, In-Service Training Schedule, interview of Facility Staff, and review of training records, Roswell Correctional Center is in compliance with all componets of Standard 115.31.

Standard 115.32 - Volunteer and contractor training

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Power Point: PREA for Volunteers and Contractors, Training Lesson Plan: PREA for Volunteers and Contractors,

PREA for Volunteers and Contractors Training Acknowledgement form, interview of Facility Contractors, and review of training records, Roswell Correctional Center is in compliance with all componets of Standard 115.32.

Standard 115.33 - Inmate education

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, 2012 Orientation Video, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Reporting Card, Inmate Orientation schedule, Inmate Orientation Acknowledgement form, PREA Posters, Inmate Assessment Tool (S.R.N.S. I & II), facility tour, and inmate interviews, Roswell Correctional Center is in compliance with all componets of Standard 115.33.

***Note* - Roswell Correctional Center has the PREA Hotline and how to report PREA concerns painted on the wall (English/Spanish) in every living unit and most buildings inmates are in. It is posted in all buildings with brochures and informative articles available in the library. There is a phone number for confidential reporting painted above every phone inmates have access to. Interviews with the inmates clearly indicate they know what PREA is and how to report concerns.**

Standard 115.34 - Specialized training: Investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Investigating Sexual Assaults in a Correctional Setting, Power Point: Investigating Sexual Assaults in a Correctional Setting, Investigator training certificates, Letter from the NM Department of Public Safety, Interview with Warden and , Interview with investigators, Roswell Correctional Center is in compliance with all componets of Standard 115.34.

Standard 115.35 - Specialized training: Medical and mental health care

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Lesson Plan: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Power Point: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Medical and Mental Health training certificates, interview of Statewide SAFE/SANE Program Manager, Roswell Correctional Center is in compliance with all componets of Standard 115.35.

Standard 115.41 - Screening for risk of victimization and abusiveness

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Inmate Assessment Test (S.R.N.S. I & II), PREA Screening Tool Users Guide, random selection of inmates S.R.N.S. Assessment Tool: 72 hour and 30 day re-evaluation, and observation of intake process, Roswell Correctional Center is in compliance with all componets of Standard 115.41.

***Note* Roswell Correctional Center exceeds this standard. Although their policy says the initial screening will be completed in 24 hours, the facility classification staff complete the required assessments as outlined in the standard. A random review indicated every inmate had an initial assessment completed within 48 hours the majority within 24 hours and a review completed within 30 days.**

Standard 115.42 - Use of screening information

- Exceeds Standard (Substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150100 and CD-080100, Memorandum from the facility Acting Warden, PREA Screening Tool Users Guide, and interviews with staff, Roswell Correctional Center is in compliance with all componets of Standard 115.42.

Standard 115.43 - Protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-150100 and CD-141100, Memorandum from the Facility Warden stating that no inmates have been place in separate housing units due to their sexual orientation, and conversation with the Chief of Custody, Roswell Correctional Center is in compliance with all componets of Standard 115.43.

***Note* - Roswell Correctional Center does not have a segregation unit. If an inmate needs segregated for any reason, they are sent to another institution.**

Standard 115.51 - Inmate reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Memorandum from the Statewide PREA Compliance Manager, Memorandum of Understanding from Rape Crisis Center of New Mexico, NMCD Web site page, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Reporting Card, and interviews with the inmates, Roswell Correctional Center is in compliance with all componets of Standard 115.51.

Standard 115.52 - Exhaustion of administrative remedies

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150500, Memorandum from the facility Warden, Memorandum from Facility Grievance Coordinator, and NMCD PREA Pamphlet (English/Spanish), Roswell Correctional Center is in compliance with all componets of Standard 115.52.

Standard 115.53 - Inmate access to outside confidential support services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150300, Memorandum of Understanding from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), flyer from LaPinon Services, postings throughout the facility and intevIEWS with the inmates, Roswell Correctional Center is in compliance with all componets of Standard 115.53.

Standard 115.54 - Third-party reporting

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150101, Memorandum of Understanding from Rape Crisis Center, NMCD PREA Pamphlet (English/Spanish), NMCD Web site page, PREA Posters, and PREA information sheet, Roswell Correctional Center is in compliance with all componets of Standard 115.54.

Standard 115.61 - Staff and agency reporting duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, CD-150100, PREA Officer Training Materials, PREA Acknowledgement forms, PREA Information sheet, and interviews with staff, Roswell Correctional Center is in compliance with all componets of Standard 115.61.

Standard 115.62 - Agency protection duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, Memorandum from the facility Warden regarding an investigation assignment based on a call received from the Sexual Assault Hotline, Training Materials from Staff PREA presentation, and referrals to Office of Professional Standards for PREA investigations, Roswell Correctional Center is in compliance with all componets of Standard 115.62.

Standard 115.63 - Reporting to other confinement facilities

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, CD-150100, Memorandum from the Statewide PREA Compliance Manager, Referrals for Investigation from the Office of Professional Standards (OPS), E-mails between Statewide PREA Coordinator and Director of McKinley County Detention Center, and interview

with Statewide PREA Compliance Manager and Warden, Roswell Correctional Center is in compliance with all componets of Standard 115.63.

Standard 115.64 - Staff first responder duties

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, signed PREA Acknowledgement Forms, first responder cards and interview with staff, Roswell Correctional Center is in compliance with all componets of Standard 115.64.

Standard 115.65 - Coordinated response

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, Facility Response to Sexual Assault Checklist, and interviews with Facility PREA Compliance Manager and Warden, Roswell Correctional Center is in compliance with all componets of Standard 115.65.

Standard 115.66 - Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of pgs 108/109 of contract between the State of New Mexico and American Federation of State, County, and Municipal Employees (AFSCME Union) Council 18, interview with Statewide PREA Compliance

Manager and Warden, Roswell Correctional Center is in compliance with all componets of Standard 115.66.

Standard 115.67 - Agency protection against retaliation

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD- 031800, Memorandum from the Acting Warden, Victim Retaliation Monitoring Form, and interviews with Facility PREA Compliance Manager and Warden, Roswell Correctional Center is in compliance with all componets of Standard 115.67.

Standard 115.68 - Post-allegation protective custody

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-141100 and CD-141101 and a memo from the Warden, Roswell Correctional Center is in compliance with all componets of Standard 115.68

***Note* - Roswell Correctional Center does not have a segregation unit. If an inmate needs segregated for any reason, they are sent to another institution.**

Standard 115.71 - Criminal and administrative agency investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of CD-013800, CD-031801, CD-150101, Training Material: Investigating Sexual Assaults in a Correctional Setting, Training Certificates,

Letter from the Department of Safety, completed investigation reports, and interviews with local and OPS investigators, Roswell Correctional Center is in compliance with all componets of Standard 115.71.

Standard 115.72 - Evidentiary standard for administrative investigations

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-031800, NMCD PREA Investigator Training, completed investigation reports and interview with Facility investigative staff, Roswell Correctional Center is in compliance with all componets of Standard 115.72.

Standard 115.73 - Reporting to inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-150101, CD-150102, Memorandum from PREA Compliance manager, interviews with PREA Compliance Manager and investigative staff, and review of letter sent to an inmate with results of an investigation, Roswell Correctional Center is in compliance with all componets of Standard 115.73.

Standard 115.76 - Disciplinary sanctions for staff

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policies CD-037800, CD-032200, CD-031800, CD-031801, Memorandum from Human Resources Manager, and Interview with Human

Resources Manager, Roswell Correctional Center is in compliance with all componets of Standard 115.76.

Standard 115.77 - Corrective action for contractors and volunteers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150100, CD-031801, CD-060200, CD-060201, Memorandum from Warden, Roswell Correctional Center is in compliance with all componets of Standard 115.77.

Standard 115.78 - Disciplinary sanctions for inmates

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-090100, CD-090101, CD-181001, CD-150100, Memorandums from the Warden and from the Hearings Officer , review of infraction categories and Misconduct forms for Sexual Misconduct, Roswell Correctional Center is in compliance with all componets of Standard 115.78.

Standard 115.81 - Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-180200, CD-150100, Offender Assessment Test; S.R.N.S 1&2, PREA Screening Tool User Manual, and interviewed staff responsible for risk screening including classification/medical/mental health

staff, Roswell Correctional Center is in compliance with all componets of Standard 115.81.

Standard 115.82 - Access to emergency medical and mental health services

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-176100, CD-150102, roster/work schedule for Corizon Health Staff, Roswell Correctional Center Behavioral Health on-call schedule and interviews with medical and mental health staff, Roswell Correctional Center is in compliance with all componets of Standard 115.82.

Standard 115.83 - Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of Policy CD-150102, CD-170101 and CD-180100, Memorandum of Understanding with Rape Crisis of New Mexico, and interviews with medical/mental health staff, Roswell Correctional Center is in compliance with all componets of Standard 115.83

Standard 115.86 - Sexual abuse incident reviews

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based review of Policy CD-150102, Administration/Investigation, review of completed PREA investigative reports and interviews with Warden and PREA

Compliance Manager, Roswell Correctional Center is compliant with all componets of Standard 118.86.

Standard 115.87 - Data collection

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on review of the Survey of Sexual Violence and NMCD's Annual Assessment of Progress in Addressing Sexual Abuse, Roswell Correctional Center/NMCD is compliant with all componets of standard 115.87

Standard 115.88 - Data review for corrective action

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on interviews with PREA Coordinator and Warden, review of past year's sexual abuse data, Roswell Correctional Center is compliant with all componets of standard 115.88.

Standard 115.89 - Data storage, publication, and destruction

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

Based on PREA Compliance Manager interview, review of Policy CD-150101 and Annual Assessment of NMCD progress in addressing sexual abuse,

Roswell Correctional Center is compliant with all componets of standard 115.89.

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Michael Klemke

03/11/16

Auditor Signature

Date